

Public: Gordon Haskett Capital Corporation
Time Period: July - September 2016

SEC-Required Report on Routing of Customer Orders
For 3rd Quarter Ending September 2016

Gordon Haskett Capital Corporation has prepared this report pursuant to a U.S. Securities and Exchange Commission rule requiring all brokerage firms to make publicly available quarterly reports on their order routing practices. The report provides information on the routing of “non-directed orders” — any order that the customer has not specifically instructed to be routed to a particular venue for execution. For these non-directed orders, Gordon Haskett Capital Corporation has selected the execution venue on behalf of its customers.

The report is divided into four sections: one for securities listed on the New York Stock Exchange, one for securities listed on The Nasdaq Stock Market, one for securities listed on the American Stock Exchange or regional exchanges, and one for exchange-listed options. For each section, this report identifies the venues most often selected by Gordon, Haskett Capital Corporation, sets forth the percentage of various types of orders routed to the venues, and discusses the material aspects of Gordon Haskett Capital Corporation’s relationship with the venues.

NYSE Securities
Public: Gordon Haskett Capital Corporation
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	Non-Directed Orders	Market Orders	Limit Orders	Other Orders
Total Gordon Haskett Capital Corporation Orders	100%	90%	10%	0%

Orders Routed To:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders
New York Stock Exchange	27%	26%	40%	0%
CSFB Credit Suisse	9%	9%	7%	0%
CROSSFINDER Credit Suisse	13%	13%	11%	0%
Direct Edge	12%	12%	8%	0%
BATS	11%	11%	10%	0%
ARCA Exchange	8%	9%	4%	0%
NASDAQ Exchange	15%	15%	13%	0%
IEXG	5%	5%	7%	0%
Total %	100%	100%	100%	0%

Nasdaq Securities
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	Non-Directed Orders	Market Orders	Limit Orders	Other Orders
Total Gordon Haskett Capital Corporation Orders	100%	92%	8%	0%

Orders Routed To:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders
NASDAQ Exchange	32%	32%	32%	0%
CSFB Credit Suisse	6%	6%	5%	0%
CROSSFINDER Credit Suisse	19%	18%	25%	0%
Direct Edge	17%	17%	14%	0%
BATS	13%	13%	16%	0%
ARCA	9%	9%	5%	0%
IEXG	4%	5%	3%	0%
Total %	100%	100%	100%	0%

AMEX/Other Securities
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	Non-Directed Orders	Market Orders	Limit Orders	Other Orders
Total Gordon Haskett Capital Corporation Orders	0%	0%	0%	0%

Orders Routed To:	Non-Directed Orders	Market Orders	Limit Orders	Other Orders
AMEX Exchange	0%	0%	0%	0%
Total %	0%	0%	0%	0%

Listed Options
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Non-Directed Orders	Market Orders	Limit Orders	Other Orders	Material Aspects of Relationship

*****NO
COVERED
ORDERS***
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