

**Public: Gordon Haskett Capital Corporation**  
**Time Period: October - December 2019**

**SEC-Required Report on Routing of Customer Orders**  
**For 4<sup>th</sup> Quarter Ending December 2019**

Gordon Haskett Capital Corporation has prepared this report pursuant to a U.S. Securities and Exchange Commission rule requiring all brokerage firms to make publicly available quarterly reports on their order routing practices. The report provides information on the routing of “non-directed orders” — any order that the customer has not specifically instructed to be routed to a particular venue for execution. For these non-directed orders, Gordon Haskett Capital Corporation has selected the execution venue on behalf of its customers.

The report is divided into four sections: one for securities listed on the New York Stock Exchange, one for securities listed on The Nasdaq Stock Market, one for securities listed on the American Stock Exchange or regional exchanges, and one for exchange-listed options. For each section, this report identifies the venues most often selected by Gordon, Haskett Capital Corporation, sets forth the percentage of various types of orders routed to the venues, and discusses the material aspects of Gordon Haskett Capital Corporation’s relationship with the venues.

**NYSE Securities**  
**Public: Gordon Haskett Capital Corporation**  
**Time Period: October - December 2019**

	<b>Non-Directed Orders</b>	<b>Market Orders</b>	<b>Limit Orders</b>	<b>Other Orders</b>
<b>Total Gordon Haskett Capital Corporation Orders</b>	100%	87%	13%	0%

<b>Orders Routed To:</b>	<b>Non-Directed Orders</b>	<b>Market Orders</b>	<b>Limit Orders</b>	<b>Other Orders</b>
<b>New York Stock Exchange</b>	49%	47%	63%	34%
<b>CSFB Credit Suisse</b>	2%	2%	2%	0%
<b>CROSSFINDER Credit Suisse</b>	13%	13%	11%	6%
<b>Direct Edge</b>	4%	5%	2%	5%
<b>BATS</b>	5%	5%	3%	28%
<b>ARCA Exchange</b>	3%	3%	2%	5%
<b>NASDAQ Exchange</b>	14%	15%	4%	21%
<b>IEXG</b>	10%	10%	13%	1%
<b>Total %</b>	100%	100%	100%	100%

**Nasdaq Securities  
Public: Gordon Haskett Capital Corporation  
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	<b>Non-Directed Orders</b>	<b>Market Orders</b>	<b>Limit Orders</b>	<b>Other Orders</b>
<b>Total Gordon Haskett Capital Corporation Orders</b>	100%	77%	23%	0%

<b>Orders Routed To:</b>	<b>Non-Directed Orders</b>	<b>Market Orders</b>	<b>Limit Orders</b>	<b>Other Orders</b>
<b>NASDAQ Exchange</b>	44%	45%	40%	27%
<b>CSFB Credit Suisse</b>	3%	3%	3%	0%
<b>CROSSFINDER Credit Suisse</b>	11%	11%	11%	0%
<b>Direct Edge</b>	9%	10%	7%	23%
<b>BATS</b>	9%	10%	8%	16%
<b>ARCA</b>	4%	3%	4%	21%
<b>IEXG</b>	16%	14%	23%	0%
<b>New York Stock Exchange</b>	4%	4%	4%	13%
<b>Total %</b>	100%	100%	100%	100%

**AMEX/Other Securities**  
**Public: Gordon Haskett Capital Corporation**  
**Time Period: October - December 2019**

	<b>Non-Directed Orders</b>	<b>Market Orders</b>	<b>Limit Orders</b>	<b>Other Orders</b>
<b>Total Gordon Haskett Capital Corporation Orders</b>	0%	0%	0%	0%

<b>Orders Routed To:</b>	<b>Non-Directed Orders</b>	<b>Market Orders</b>	<b>Limit Orders</b>	<b>Other Orders</b>
<b>AMEX Exchange</b>	0%	0%	0%	0%
<b>Total %</b>	0%	0%	0%	0%

**Listed Options**  
**Public: Gordon Haskett Capital Corporation**  
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<b>Non-Directed Orders</b>	<b>Market Orders</b>	<b>Limit Orders</b>	<b>Other Orders</b>	<b>Material Aspects of Relationship</b>


**\*\*\*NO  
COVERED  
ORDERS\***  
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