

Broker - Held NMS Stocks and Options Order Routing Public Report - Q4 2021

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October 2021

S&P 500 Stocks

Summary

		Marketable	Non-Marketable	
Non-		Limit Orders as	Limit Orders as	
Directed	Market Orders	% of	% of	Other Orders as
Orders as %	as % of Non-	NonDirected	NonDirected	% of Non-
of All Orders	Directed Orders	Orders	Orders	Directed Orders
0	0	0	0	0

Venues

									Net Payment		Net Payment Paid/ Received		
							Net Payment		Paid/ Received	Net Payment	for Non -		Net Payment
							Paid/ Received	Net Payment	for Marketable	Paid/ Received	Marketable		Paid/ Received
						Net Payment	for Market	Paid/ Received	Limit	for Non -	Limit	Net Payment	for Other
Venue - Non-				Non -		Paid/Received	Orders(cents	for Marketable	Orders(cents	Marketable	Orders(cents	Paid/Received	Orders(cents
directed	Non - Directed	Market Orders	Marketable	Marketable	Other Orders	for Market	per hundred	Limit	per hundred	Limit	per hundred	for Other	per hundred
Order Flow	Orders (%)	(%)	Limit Orders (%)	Limit Orders (%)	(%)	Orders(USD)	shares)	Orders(USD)	shares)	Orders(USD)	shares)	Orders(USD)	shares)

October 2021

Non-S&P 500 Stocks

Summary

Orders as %		Limit Orders as % of NonDirected	NonDirected	Other Orders as % of Non- Directed Orders
0	0	0	0	0

Venues

										Net Payment		Net Payment Paid/ Received		
								Net Payment				for Non -		Net Payment
								Paid/ Received	Net Payment	for Marketable	Paid/ Received	Marketable		Paid/ Received
							Net Payment	for Market	Paid/ Received	Limit	for Non -	Limit	Net Payment	for Other
Ve	nue - Non-				Non -		Paid/Received	Orders(cents	for Marketable	Orders(cents	Marketable	Orders(cents	Paid/Received	Orders(cents
dir	ected	Non - Directed	Market Orders	Marketable	Marketable	Other Orders	for Market	per hundred	Limit	per hundred	Limit	per hundred	for Other	per hundred
Or	der Flow	Orders (%)	(%)	Limit Orders (%)	Limit Orders (%)	(%)	Orders(USD)	shares)	Orders(USD)	shares)	Orders(USD)	shares)	Orders(USD)	shares)

November 2021

S&P 500 Stocks

Summary

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of All Orders	Directed Orders	Orders	Orders	Directed Orders
0	0	0	0	0

Venues

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							Paid/ Received	Net Payment	for Marketable	Paid/ Received	Marketable		Paid/ Received
						Net Payment	for Market	Paid/ Received	Limit	for Non -	Limit	Net Payment	for Other
Venue - Non-				Non -		Paid/Received	Orders(cents	for Marketable	Orders(cents	Marketable	Orders(cents	Paid/Received	Orders(cents
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Order Flow	Orders (%)	(%)	Limit Orders (%)	Limit Orders (%)	(%)	Orders(USD)	shares)	Orders(USD)	shares)	Orders(USD)	shares)	Orders(USD)	shares)

November 2021

Non-S&P 500 Stocks

Summary

Venues

									Net Payment		Net Payment Paid/ Received		
							Net Payment		Paid/ Received	Net Payment	for Non -		Net Payment
							Paid/ Received	Net Payment	for Marketable	Paid/ Received	Marketable		Paid/ Received
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Order Flow	Orders (%)	(%)	Limit Orders (%)	Limit Orders (%)	(%)	Orders(USD)	shares)	Orders(USD)	shares)	Orders(USD)	shares)	Orders(USD)	shares)

December 2021

S&P 500 Stocks

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0	0	0	0	0

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ı								Net Payment		Paid/ Received	Net Payment	for Non -		Net Payment
1								Paid/ Received	Net Payment	for Marketable	Paid/ Received	Marketable		Paid/ Received
ı							Net Payment	for Market	Paid/ Received	Limit	for Non -	Limit	Net Payment	for Other
1	Venue - Non-				Non -		Paid/Received	Orders(cents	for Marketable	Orders(cents	Marketable	Orders(cents	Paid/Received	Orders(cents
ı	directed	Non - Directed	Market Orders	Marketable	Marketable	Other Orders	for Market	per hundred	Limit	per hundred	Limit	per hundred	for Other	per hundred
ı	Order Flow	Orders (%)	(%)	Limit Orders (%)	Limit Orders (%)	(%)	Orders(USD)	shares)	Orders(USD)	shares)	Orders(USD)	shares)	Orders(USD)	shares)
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