

Broker - Held NMS Stocks and Options Order Routing Public Report - Q2 2023

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April 2023

S&P 500 Stocks

Julilliary				
		Marketable	Non-Marketable	
Non-		Limit Orders as	Limit Orders as	
Directed	Market Orders	% of	% of	Other Orders as
Orders as %	as % of Non-	NonDirected	NonDirected	% of Non-
of All Orders	Directed Orders	Orders	Orders	Directed Orders
	_	-	_	

venues													
											Net Payment		
									Net Payment		Paid/ Received		
							Net Payment	Net Payment	Paid/ Received	Paid/ Received	for Non -		Net Payment
						Net Payment	Paid/ Received	Paid/ Received	for Marketable	for Non -	Marketable	Net Payment	Paid/ Received
Venue - Non-				Non -		Paid/Received	for Market	for Marketable	Limit	Marketable	Limit	Paid/Received	for Other
directed	Non - Directed	Market Orders	Marketable	Marketable	Other Orders	for Market	Orders(cents per	Limit	Orders(cents per	Limit	Orders(cents per	for Other	Orders(cents per
Order Flow	Orders (%)	(%)	Limit Orders (%)	Limit Orders (%)	(%)	Orders(USD)	hundred shares)	Orders(USD)	hundred shares)	Orders(USD)	hundred shares)	Orders(USD)	hundred shares)
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April 2023

Non-S&P 500 Stocks

Summary

Orders as %	Market Orders as % of Non-	Limit Orders as % of NonDirected	NonDirected	Other Orders as % of Non-
of All Orders	Directed Orders	Orders	Orders	Directed Orders
0	0	0	0	0

Venues

										Net Payment		Net Payment Paid/ Received		
								Net Payment	Net Payment	Paid/ Received	Paid/ Received	for Non -		Net Payment
							Net Payment	Paid/ Received	Paid/ Received	for Marketable	for Non -	Marketable	Net Payment	Paid/ Received
ľ	Venue - Non-				Non -		Paid/Received	for Market	for Marketable	Limit	Marketable	Limit	Paid/Received	for Other
ŀ	directed	Non - Directed	Market Orders	Marketable	Marketable	Other Orders	for Market	Orders(cents per	Limit	Orders(cents per	Limit	Orders(cents per	for Other	Orders(cents per
	Order Flow	Orders (%)	(%)	Limit Orders (%)	Limit Orders (%)	(%)	Orders(USD)	hundred shares)	Orders(USD)	hundred shares)	Orders(USD)	hundred shares)	Orders(USD)	hundred shares)

May 2023

S&P 500 Stocks

Summary

Orders as %	Market Orders as % of Non-	Limit Orders as % of NonDirected	NonDirected	Other Orders as % of Non- Directed Orders
0	0	0	0	0

Venues

	venues													
										Net Payment		Net Payment Paid/ Received		
۱								Net Payment	Net Payment	Paid/ Received	Paid/ Received	for Non -		Net Payment
۱							Net Payment	Paid/ Received	Paid/ Received	for Marketable	for Non -	Marketable	Net Payment	Paid/ Received
ľ	Venue - Non-				Non -		Paid/Received	for Market	for Marketable	Limit	Marketable	Limit	Paid/Received	for Other
ŀ	directed	Non - Directed	Market Orders	Marketable	Marketable	Other Orders	for Market	Orders(cents per	Limit	Orders(cents per	Limit	Orders(cents per	for Other	Orders(cents per
	Order Flow	Orders (%)	(%)	Limit Orders (%)	Limit Orders (%)	(%)	Orders(USD)	hundred shares)	Orders(USD)	hundred shares)	Orders(USD)	hundred shares)	Orders(USD)	hundred shares)

May 2023

Non-S&P 500 Stocks

Summary

		Marketable	Non-Marketable	
Non-		Limit Orders as	Limit Orders as	
Directed	Market Orders	% of	% of	Other Orders as
Orders as %	as % of Non-	NonDirected	NonDirected	% of Non-
of All Orders	Directed Orders	Orders	Orders	Directed Orders
0	0	0	0	0

ν	e	n	u	e	•

venue	25												
									Net Payment		Net Payment Paid/ Received		
							Net Payment				for Non -		Net Payment
													Paid/ Received
Venue -	Non-			Non -		Paid/Received	for Market	for Marketable	Limit	Marketable	Limit	Paid/Received	for Other
directe	Non - Directed	Market Orders	Marketable	Marketable	Other Orders	for Market	Orders(cents per	Limit	Orders(cents per	Limit	Orders(cents per	for Other	Orders(cents per
Order F	low Orders (%)	(%)	Limit Orders (%)	Limit Orders (%)	(%)	Orders(USD)	hundred shares)	Orders(USD)	hundred shares)	Orders(USD)	hundred shares)	Orders(USD)	hundred shares)

June 2023

S&P 500 Stocks

Summary

Orders as %	as % of Non-	Limit Orders as % of NonDirected	NonDirected	Other Orders as % of Non- Directed Orders
of All Orders	Directed Orders	Uraers	Oraers	Directed Orders
0	0	0	0	0

Venues

	venues													
										Net Payment		Net Payment Paid/ Received		
								Net Payment	Net Payment	Paid/ Received	Paid/ Received	for Non -		Net Payment
							Net Payment	Paid/ Received	Paid/ Received	for Marketable	for Non -	Marketable	Net Payment	Paid/ Received
ľ	/enue - Non-				Non -		Paid/Received	for Market	for Marketable	Limit	Marketable	Limit	Paid/Received	for Other
ŀ	directed	Non - Directed	Market Orders	Marketable	Marketable	Other Orders	for Market	Orders(cents per	Limit	Orders(cents per	Limit	Orders(cents per	for Other	Orders(cents per
ı	Order Flow	Orders (%)	(%)	Limit Orders (%)	Limit Orders (%)	(%)	Orders(USD)	hundred shares)	Orders(USD)	hundred shares)	Orders(USD)	hundred shares)	Orders(USD)	hundred shares)

June 2023

Non-S&P 500 Stocks

Summary

		Marketable	Non-Marketable	
Non-		Limit Orders as	Limit Orders as	
Directed	Market Orders	% of	% of	Other Orders as
Orders as %	as % of Non-	NonDirected	NonDirected	% of Non-
of All Orders	Directed Orders	Orders	Orders	Directed Orders
0	0	0	0	0

Venues

										Net Payment		Net Payment Paid/ Received		
								Net Payment	Net Payment	Paid/ Received	Paid/ Received	for Non -		Net Payment
							Net Payment	Paid/ Received	Paid/ Received	for Marketable	for Non -	Marketable	Net Payment	Paid/ Received
Venu	e - Non-				Non -		Paid/Received	for Market	for Marketable	Limit	Marketable	Limit	Paid/Received	for Other
direct	ted	Non - Directed	Market Orders	Marketable	Marketable	Other Orders	for Market	Orders(cents per	Limit	Orders(cents per	Limit	Orders(cents per	for Other	Orders(cents per
Orde	r Flow	Orders (%)	(%)	Limit Orders (%)	Limit Orders (%)	(%)	Orders(USD)	hundred shares)	Orders(USD)	hundred shares)	Orders(USD)	hundred shares)	Orders(USD)	hundred shares)