

# Broker - Held NMS Stocks and Options Order Routing Public Report - Q2 2022

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# April 2022

S&P 500 Stocks

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Orders as %	Market Orders as % of Non- Directed Orders	Limit Orders as % of NonDirected		Other Orders as % of Non- Directed Orders
0	0	0	0	0

venues													
									Net Payment		Net Payment Paid/ Received		
							Net Payment		Paid/ Received	Net Payment	for Non -		Net Payment
							Paid/ Received	Net Payment	for Marketable	Paid/ Received	Marketable		Paid/ Received
						Net Payment	for Market	Paid/ Received	Limit	for Non -	Limit	Net Payment	for Other
Venue - Non-				Non -		Paid/Received	Orders(cents	for Marketable	Orders(cents	Marketable	Orders(cents	Paid/Received	Orders(cents
directed	Non - Directed	Market Orders	Marketable	Marketable	Other Orders	for Market	per hundred	Limit	per hundred	Limit	per hundred	for Other	per hundred
Order Flow	Orders (%)	(%)	Limit Orders (%)	Limit Orders (%)	(%)	Orders(USD)	shares)	Orders(USD)	shares)	Orders(USD)	shares)	Orders(USD)	shares)

#### April 2022

Non-S&P 500 Stocks

#### Summary

Non-			Non-Marketable Limit Orders as	Other Orders as
Orders as %	as % of Non-	NonDirected	NonDirected	% of Non-
of All Orders	<b>Directed Orders</b>	Orders	Orders	<b>Directed Orders</b>
0	0	0	0	0

### Venues

									Net Payment		Net Payment Paid/ Received		
							Net Payment				for Non -		Net Payment
							Paid/ Received	Net Payment	for Marketable	Paid/ Received	Marketable		Paid/ Received
						Net Payment	for Market	Paid/ Received	Limit	for Non -	Limit	Net Payment	for Other
Venue - Non-				Non -		Paid/Received	Orders(cents	for Marketable	Orders(cents	Marketable	Orders(cents	Paid/Received	Orders(cents
directed	Non - Directed	Market Orders	Marketable	Marketable	Other Orders	for Market	per hundred	Limit	per hundred	Limit	per hundred	for Other	per hundred
Order Flow	Orders (%)	(%)	Limit Orders (%)	Limit Orders (%)	(%)	Orders(USD)	shares)	Orders(USD)	shares)	Orders(USD)	shares)	Orders(USD)	shares)

## May 2022

S&P 500 Stocks

#### Summary

Jannary				
		Marketable	Non-Marketable	
Non-		Limit Orders as	Limit Orders as	
Directed	Market Orders	% of	% of	Other Orders as
Orders as %	as % of Non-	NonDirected	NonDirected	% of Non-
of All Orders	<b>Directed Orders</b>	Orders	Orders	<b>Directed Orders</b>
0	0	0	0	0

### Venues

-	venues													
										Net Payment		Net Payment Paid/ Received		
								Net Payment		Paid/ Received	Net Payment	for Non -		Net Payment
								Paid/ Received	Net Payment	for Marketable	Paid/ Received	Marketable		Paid/ Received
							Net Payment	for Market	Paid/ Received	Limit	for Non -	Limit	Net Payment	for Other
	Venue - Non-				Non -		Paid/Received	Orders(cents	for Marketable	Orders(cents	Marketable	Orders(cents	Paid/Received	Orders(cents
	directed	Non - Directed	Market Orders	Marketable	Marketable	Other Orders	for Market	per hundred	Limit	per hundred	Limit	per hundred	for Other	per hundred
	Order Flow	Orders (%)	(%)	Limit Orders (%)	Limit Orders (%)	(%)	Orders(USD)	shares)	Orders(USD)	shares)	Orders(USD)	shares)	Orders(USD)	shares)
														1

#### May 2022

Non-S&P 500 Stocks

Summary

1					
			Marketable	Non-Marketable	
	Non-		Limit Orders as	Limit Orders as	
	Directed	Market Orders	% of	% of	Other Orders as
	Orders as %	as % of Non-	NonDirected	NonDirected	% of Non-
	of All Orders	<b>Directed Orders</b>	Orders	Orders	<b>Directed Orders</b>
	_			0	

Venues

									Net Payment		Net Payment Paid/ Received		
							Net Payment				for Non -		Net Payment
							•	Net Payment	for Marketable	Paid/ Received	Marketable		Paid/ Received
						Net Payment	for Market	Paid/ Received	Limit	for Non -	Limit	Net Payment	for Other
Venue - Non-				Non -		Paid/Received	Orders(cents	for Marketable	Orders(cents	Marketable	Orders(cents	Paid/Received	Orders(cents
directed	Non - Directed	Market Orders	Marketable	Marketable	Other Orders	for Market	per hundred	Limit	per hundred	Limit	per hundred	for Other	per hundred
Order Flow	Orders (%)	(%)	Limit Orders (%)	Limit Orders (%)	(%)	Orders(USD)	shares)	Orders(USD)	shares)	Orders(USD)	shares)	Orders(USD)	shares)

# June 2022

S&P 500 Stocks

Summary

Orders as %	as % of Non-	Limit Orders as % of NonDirected	NonDirected	Other Orders as % of Non-
of All Orders	<b>Directed Orders</b>	Orders	Orders	<b>Directed Orders</b>
0	0	0	0	0

Venues

									Net Payment		Net Payment Paid/ Received		
							Net Payment				for Non -		Net Payment
							Paid/ Received	Net Payment	for Marketable	Paid/ Received	Marketable		Paid/ Received
						Net Payment	for Market	Paid/ Received	Limit	for Non -	Limit	Net Payment	for Other
Venue - Non-				Non -		Paid/Received	Orders(cents	for Marketable	Orders(cents	Marketable	Orders(cents	Paid/Received	Orders(cents
directed	Non - Directed	Market Orders	Marketable	Marketable	Other Orders	for Market	per hundred	Limit	per hundred	Limit	per hundred	for Other	per hundred
Order Flow	Orders (%)	(%)	Limit Orders (%)	Limit Orders (%)	(%)	Orders(USD)	shares)	Orders(USD)	shares)	Orders(USD)	shares)	Orders(USD)	shares)

# June 2022

Non-S&P 500 Stocks

Summary

		Marketable	Non-Marketable	
Non-		Limit Orders as	Limit Orders as	
Directed	Market Orders	% of	% of	Other Orders as
Orders as %	as % of Non-	NonDirected	NonDirected	% of Non-
of All Orders	<b>Directed Orders</b>	Orders	Orders	<b>Directed Orders</b>
0	0	0	0	0

Venues

Venues													
									Net Payment		Net Payment Paid/ Received		
							Net Payment		Paid/ Received	Net Payment	for Non -		Net Payment
							Paid/ Received	Net Payment	for Marketable	Paid/ Received	Marketable		Paid/ Received
						Net Payment	for Market	Paid/ Received	Limit	for Non -	Limit	Net Payment	for Other
Venue - No	1-			Non -		Paid/Received	Orders(cents	for Marketable	Orders(cents	Marketable	Orders(cents	Paid/Received	Orders(cents
directed	Non - Directed	Market Orders	Marketable	Marketable	Other Orders	for Market	per hundred	Limit	per hundred	Limit	per hundred	for Other	per hundred
Order Flow	Orders (%)	(%)	Limit Orders (%)	Limit Orders (%)	(%)	Orders(USD)	shares)	Orders(USD)	shares)	Orders(USD)	shares)	Orders(USD)	shares)