

Broker - Held NMS Stocks and Options Order Routing Public Report - Q1 2024 Gordon, Haskett Capital Corp.

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Run On: Report Date:

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Jan 2024

S&P 500 Stocks

Summary				
		Marketable	Non-Marketable	
Non-		Limit Orders as	Limit Orders as	
Directed	Market Orders	% of	% of	Other Orders as
Orders as %	as % of Non-	NonDirected	NonDirected	% of Non-
of All Orders	Directed Orders	Orders	Orders	Directed Orders
0	0	0	0	0

Venues

									Net Payment		Net Payment Paid/ Received		
							Net Payment		Paid/ Received	Net Payment	for Non -		Net Payment
							Paid/ Received	Net Payment	for Marketable	Paid/ Received	Marketable		Paid/ Received
						Net Payment	for Market	Paid/ Received	Limit	for Non -	Limit	Net Payment	for Other
Venue - Non-				Non -		Paid/Received	Orders(cents	for Marketable	Orders(cents	Marketable	Orders(cents	Paid/Received	Orders(cents
directed	Non - Directed	Market Orders	Marketable	Marketable	Other Orders	for Market	per hundred	Limit	per hundred	Limit	per hundred	for Other	per hundred
Order Flow	Orders (%)	(%)	Limit Orders (%)	Limit Orders (%)	(%)	Orders(USD)	shares)	Orders(USD)	shares)	Orders(USD)	shares)	Orders(USD)	shares)

Jan 2024

Non-S&P 500 Stocks

Summary

		Marketable	Non-Marketable	
Non-		Limit Orders as	Limit Orders as	
Directed	Market Orders	% of	% of	Other Orders as
Orders as %	as % of Non-	NonDirected	NonDirected	% of Non-
of All Orders	Directed Orders	Orders	Orders	Directed Orders
0	0	0	0	0

Venues

									Net Payment		Net Payment Paid/ Received		
							Net Payment				for Non -		Net Payment
							Paid/ Received	Net Payment	for Marketable		Marketable		Paid/ Received
						Net Payment	for Market	Paid/ Received	Limit	for Non -	Limit	Net Payment	for Other
Venue - Non-				Non -		Paid/Received	Orders(cents	for Marketable	Orders(cents	Marketable	Orders(cents	Paid/Received	Orders(cents
directed	Non - Directed	Market Orders	Marketable	Marketable	Other Orders	for Market	per hundred	Limit	per hundred	Limit	per hundred	for Other	per hundred
Order Flow	Orders (%)	(%)	Limit Orders (%)	Limit Orders (%)	(%)	Orders(USD)	shares)	Orders(USD)	shares)	Orders(USD)	shares)	Orders(USD)	shares)

Feb 2024

S&P 500 Stocks

Summary

		Limit Orders as % of		Other Orders as % of Non-
	Directed Orders			Directed Orders
0	0	0	0	0

Venues

									Net Payment		Net Payment Paid/ Received		
							Net Payment		Paid/ Received	Net Payment	for Non -		Net Payment
							Paid/ Received	Net Payment	for Marketable	Paid/ Received	Marketable		Paid/ Received
						Net Payment	for Market	Paid/ Received	Limit	for Non -	Limit	Net Payment	for Other
Venue - No	n-			Non -		Paid/Received	Orders(cents	for Marketable	Orders(cents	Marketable	Orders(cents	Paid/Received	Orders(cents
directed	Non - Directed	Market Orders	Marketable	Marketable	Other Orders	for Market	per hundred	Limit	per hundred	Limit	per hundred	for Other	per hundred
Order Flow	Orders (%)	(%)	Limit Orders (%)	Limit Orders (%)	(%)	Orders(USD)	shares)	Orders(USD)	shares)	Orders(USD)	shares)	Orders(USD)	shares)

Feb 2024

Non-S&P 500 Stocks

Summary

Orders as %		Limit Orders as % of NonDirected	Non-Marketable Limit Orders as % of NonDirected Orders	Other Orders as % of Non- Directed Orders
0	0	0	0	0

Venues

	Venues													
										Net Payment		Net Payment Paid/ Received		
								Net Payment		Paid/ Received	Net Payment	for Non -		Net Payment
								Paid/ Received	Net Payment	for Marketable	Paid/ Received	Marketable		Paid/ Received
							Net Payment	for Market	Paid/ Received	Limit	for Non -	Limit	Net Payment	for Other
Ven	nue - Non-				Non -		Paid/Received	Orders(cents	for Marketable	Orders(cents	Marketable	Orders(cents	Paid/Received	Orders(cents
dire	ected	Non - Directed	Market Orders	Marketable	Marketable	Other Orders	for Market	per hundred	Limit	per hundred	Limit	per hundred	for Other	per hundred
Ord	ler Flow	Orders (%)	(%)	Limit Orders (%)	Limit Orders (%)	(%)	Orders(USD)	shares)	Orders(USD)	shares)	Orders(USD)	shares)	Orders(USD)	shares)

March 2024

S&P 500 Stocks

Summary

Orders as %		Limit Orders as % of NonDirected		Other Orders as % of Non- Directed Orders
0	0	0	0	0

Venues

									Net Payment		Net Payment Paid/ Received		
							Net Payment		Paid/ Received	Net Payment	for Non -		Net Payment
							Paid/ Received	Net Payment	for Marketable	Paid/ Received	Marketable		Paid/ Received
						Net Payment	for Market	Paid/ Received	Limit	for Non -	Limit	Net Payment	for Other
Venue - Non				Non -		Paid/Received	Orders(cents	for Marketable	Orders(cents	Marketable	Orders(cents	Paid/Received	Orders(cents
directed	Non - Directed	Market Orders	Marketable	Marketable	Other Orders	for Market	per hundred	Limit	per hundred	Limit	per hundred	for Other	per hundred
Order Flow	Orders (%)	(%)	Limit Orders (%)	Limit Orders (%)	(%)	Orders(USD)	shares)	Orders(USD)	shares)	Orders(USD)	shares)	Orders(USD)	shares)

March 2024

Non-S&P 500 Stocks

Summary

			Non-Marketable	
Non-			Limit Orders as	
Directed	Market Orders	% of	% of	Other Orders as
Orders as %	as % of Non-	NonDirected	NonDirected	% of Non-
of All Orders	Directed Orders	Orders	Orders	Directed Orders
0	0	0	0	0

Venues

									Net Payment		Net Payment Paid/ Received		
							Net Payment		Paid/ Received	Net Payment	for Non -		Net Payment
							Paid/ Received	Net Payment	for Marketable	Paid/ Received	Marketable		Paid/ Received
						Net Payment	for Market	Paid/ Received	Limit	for Non -	Limit	Net Payment	for Other
Venue - Non-				Non -		Paid/Received	Orders(cents	for Marketable	Orders(cents	Marketable	Orders(cents	Paid/Received	Orders(cents
directed	Non - Directed	Market Orders	Marketable	Marketable	Other Orders	for Market	per hundred	Limit	per hundred	Limit	per hundred	for Other	per hundred
Order Flow	Orders (%)	(%)	Limit Orders (%)	Limit Orders (%)	(%)	Orders(USD)	shares)	Orders(USD)	shares)	Orders(USD)	shares)	Orders(USD)	shares)