

Broker - Held NMS Stocks and Options Order Routing Public Report - Q1 2022

Gordon, Haskett Capital Corp.



Run On: Report Date:

January 2022

S&P 500 Stocks

Jannary				
		Marketable	Non-Marketable	
Non-		Limit Orders as	Limit Orders as	
Directed	Market Orders	% of	% of	Other Orders as
Orders as %	as % of Non-	NonDirected	NonDirected	% of Non-
of All Orders	Directed Orders	Orders	Orders	Directed Orders
0	0	0	0	0

venues													
							Net Payment Paid/ Received		Net Payment Paid/ Received for Marketable	Net Payment	Net Payment Paid/ Received for Non - Marketable		Net Payment Paid/ Received
													for Other
Venue - Non-				Non -		Paid/Received	Orders(cents	for Marketable	Orders(cents	Marketable	Orders(cents	Paid/Received	Orders(cents
directed	Non - Directed	Market Orders	Marketable	Marketable	Other Orders	for Market	per hundred	Limit	per hundred	Limit	per hundred	for Other	per hundred
Order Flow	Orders (%)	(%)	Limit Orders (%)	Limit Orders (%)	(%)	Orders(USD)	shares)	Orders(USD)	shares)	Orders(USD)	shares)	Orders(USD)	shares)

January 2022

Non-S&P 500 Stocks

Summary

		Marketable	Non-Marketable	
Non-		Limit Orders as	Limit Orders as	
Directed	Market Orders	% of	% of	Other Orders as
Orders as %	as % of Non-	NonDirected	NonDirected	% of Non-
of All Orders	Directed Orders	Orders	Orders	Directed Orders
0	0	0	0	0

Venues

										Net Payment		Net Payment Paid/ Received		
								Net Payment				for Non -		Net Payment
								Paid/ Received	Net Payment	for Marketable	Paid/ Received	Marketable		Paid/ Received
							Net Payment	for Market	Paid/ Received	Limit	for Non -	Limit	Net Payment	for Other
Ve	nue - Non-				Non -		Paid/Received	Orders(cents	for Marketable	Orders(cents	Marketable	Orders(cents	Paid/Received	Orders(cents
dir	ected	Non - Directed	Market Orders	Marketable	Marketable	Other Orders	for Market	per hundred	Limit	per hundred	Limit	per hundred	for Other	per hundred
Or	der Flow	Orders (%)	(%)	Limit Orders (%)	Limit Orders (%)	(%)	Orders(USD)	shares)	Orders(USD)	shares)	Orders(USD)	shares)	Orders(USD)	shares)

February 2022

S&P 500 Stocks

Summary

Jannary				
		Marketable	Non-Marketable	
Non-		Limit Orders as	Limit Orders as	
Directed	Market Orders	% of	% of	Other Orders as
Orders as %	as % of Non-	NonDirected	NonDirected	% of Non-
of All Orders	Directed Orders	Orders	Orders	Directed Orders
0	0	0	0	0

Venues

venues													
									Net Payment		Net Payment Paid/ Received		
							Net Payment		Paid/ Received	Net Payment	for Non -		Net Payment
							Paid/ Received	Net Payment	for Marketable	Paid/ Received	Marketable		Paid/ Received
						Net Payment	for Market	Paid/ Received	Limit	for Non -	Limit	Net Payment	for Other
Venue - Non-				Non -		Paid/Received	Orders(cents	for Marketable	Orders(cents	Marketable	Orders(cents	Paid/Received	Orders(cents
directed	Non - Directed	Market Orders	Marketable	Marketable	Other Orders	for Market	per hundred	Limit	per hundred	Limit	per hundred	for Other	per hundred
Order Flow	Orders (%)	(%)	Limit Orders (%)	Limit Orders (%)	(%)	Orders(USD)	shares)	Orders(USD)	shares)	Orders(USD)	shares)	Orders(USD)	shares)

February 2022

Non-S&P 500 Stocks

Summary

Venues

									Net Payment		Net Payment Paid/ Received		
							Net Payment		Paid/ Received	Net Payment	for Non -		Net Payment
							Paid/ Received	Net Payment	for Marketable	Paid/ Received	Marketable		Paid/ Received
						Net Payment	for Market	Paid/ Received	Limit	for Non -	Limit	Net Payment	for Other
Venue - Non-				Non -		Paid/Received	Orders(cents	for Marketable	Orders(cents	Marketable	Orders(cents	Paid/Received	Orders(cents
directed	Non - Directed	Market Orders	Marketable	Marketable	Other Orders	for Market	per hundred	Limit	per hundred	Limit	per hundred	for Other	per hundred
Order Flow	Orders (%)	(%)	Limit Orders (%)	Limit Orders (%)	(%)	Orders(USD)	shares)	Orders(USD)	shares)	Orders(USD)	shares)	Orders(USD)	shares)
		,											

March 2022

S&P 500 Stocks

Summary

Janimary				
		Marketable	Non-Marketable	
Non-		Limit Orders as	Limit Orders as	
Directed	Market Orders	% of	% of	Other Orders as
Orders as %	as % of Non-	NonDirected	NonDirected	% of Non-
of All Orders	Directed Orders	Orders	Orders	Directed Orders
0	0	0	0	0

Venues

									Net Payment		Net Payment Paid/ Received		
							Net Payment		Paid/ Received	Net Payment	for Non -		Net Payment
							Paid/ Received	Net Payment	for Marketable	Paid/ Received	Marketable		Paid/ Received
						Net Payment	for Market	Paid/ Received	Limit	for Non -	Limit	Net Payment	for Other
Venue - No	1-			Non -		Paid/Received	Orders(cents	for Marketable	Orders(cents	Marketable	Orders(cents	Paid/Received	Orders(cents
directed	Non - Directed	Market Orders	Marketable	Marketable	Other Orders	for Market	per hundred	Limit	per hundred	Limit	per hundred	for Other	per hundred
Order Flow	Orders (%)	(%)	Limit Orders (%)	Limit Orders (%)	(%)	Orders(USD)	shares)	Orders(USD)	shares)	Orders(USD)	shares)	Orders(USD)	shares)

March 2022

Non-S&P 500 Stocks

Summary

		Marketable	Non-Marketable	
Non-		Limit Orders as	Limit Orders as	
Directed	Market Orders	% of	% of	Other Orders as
Orders as %	as % of Non-	NonDirected	NonDirected	% of Non-
of All Orders	Directed Orders	Orders	Orders	Directed Orders
0	0	0	0	0

Venues

Venues													
									Net Payment		Net Payment Paid/ Received		
							Net Payment		Paid/ Received	Net Payment	for Non -		Net Payment
							Paid/ Received	Net Payment	for Marketable	Paid/ Received	Marketable		Paid/ Received
						Net Payment	for Market	Paid/ Received	Limit	for Non -	Limit	Net Payment	for Other
Venue - No	n-			Non -		Paid/Received	Orders(cents	for Marketable	Orders(cents	Marketable	Orders(cents	Paid/Received	Orders(cents
directed	Non - Directed	Market Orders	Marketable	Marketable	Other Orders	for Market	per hundred	Limit	per hundred	Limit	per hundred	for Other	per hundred
Order Flov	Orders (%)	(%)	Limit Orders (%)	Limit Orders (%)	(%)	Orders(USD)	shares)	Orders(USD)	shares)	Orders(USD)	shares)	Orders(USD)	shares)