

Broker - Held NMS Stocks and Options Order Routing Public Report - Q2 2024

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April 2024

S&P 500 Stocks

S	un	ım	ary

		Marketable	Non-Marketable	
Non-		Limit Orders as	Limit Orders as	
Directed	Market Orders	% of	% of	Other Orders as
Orders as %	as % of Non-	NonDirected	NonDirected	% of Non-
of All Orders	Directed Orders	Orders	Orders	Directed Orders
0	0	0	0	0

venues													
											Net Payment		
											Paid/ Received		
							Net Payment	Net Payment	Paid/ Received	Paid/ Received	for Non -		Net Payment
						Net Payment	Paid/ Received	Paid/ Received	for Marketable	for Non -	Marketable	Net Payment	Paid/ Received
Venue - Non-				Non -		Paid/Received	for Market	for Marketable	Limit	Marketable	Limit	Paid/Received	for Other
directed	Non - Directed	Market Orders	Marketable	Marketable	Other Orders	for Market	Orders(cents per	Limit	Orders(cents per	Limit	Orders(cents per	for Other	Orders(cents per
Order Flow	Orders (%)	(%)	Limit Orders (%)	Limit Orders (%)	(%)	Orders(USD)	hundred shares)	Orders(USD)	hundred shares)	Orders(USD)	hundred shares)	Orders(USD)	hundred shares)

April 2024

Non-S&P 500 Stocks

Summary

Orders as %	Market Orders as % of Non-	Limit Orders as % of		Other Orders as % of Non-
of All Orders	Directed Orders	Orders	Orders	Directed Orders
0	0	0	0	0

Venues

										Net Payment		Net Payment Paid/ Received		
								Net Payment	Net Payment	Paid/ Received	Paid/ Received	for Non -		Net Payment
							Net Payment	Paid/ Received	Paid/ Received	for Marketable	for Non -	Marketable	Net Payment	Paid/ Received
ľ	Venue - Non-				Non -		Paid/Received	for Market	for Marketable	Limit	Marketable	Limit	Paid/Received	for Other
ŀ	directed	Non - Directed	Market Orders	Marketable	Marketable	Other Orders	for Market	Orders(cents per	Limit	Orders(cents per	Limit	Orders(cents per	for Other	Orders(cents per
	Order Flow	Orders (%)	(%)	Limit Orders (%)	Limit Orders (%)	(%)	Orders(USD)	hundred shares)	Orders(USD)	hundred shares)	Orders(USD)	hundred shares)	Orders(USD)	hundred shares)

May 2024

S&P 500 Stocks

Summary

Orders as %		Limit Orders as % of NonDirected	NonDirected	Other Orders as % of Non- Directed Orders
of All Orders	Directed Orders	Orders	Orders	Directed Orders
0	0	0	0	0

Venues

		Lindes												
										Net Payment		Net Payment Paid/ Received		
۱								Net Payment	Net Payment	Paid/ Received	Paid/ Received	for Non -		Net Payment
۱							Net Payment	Paid/ Received	Paid/ Received	for Marketable	for Non -	Marketable	Net Payment	Paid/ Received
ľ	Venue - Non-				Non -		Paid/Received	for Market	for Marketable	Limit	Marketable	Limit	Paid/Received	for Other
ŀ	directed	Non - Directed	Market Orders	Marketable	Marketable	Other Orders	for Market	Orders(cents per	Limit	Orders(cents per	Limit	Orders(cents per	for Other	Orders(cents per
	Order Flow	Orders (%)	(%)	Limit Orders (%)	Limit Orders (%)	(%)	Orders(USD)	hundred shares)	Orders(USD)	hundred shares)	Orders(USD)	hundred shares)	Orders(USD)	hundred shares)

May 2024

Non-S&P 500 Stocks

Summary

		Marketable	Non-Marketable	
Non-		Limit Orders as	Limit Orders as	
Directed	Market Orders	% of	% of	Other Orders as
Orders as %	as % of Non-	NonDirected	NonDirected	% of Non-
of All Orders	Directed Orders	Orders	Orders	Directed Orders
0	0	n	0	0

ν	e	n	u	e	•

venue	25												
									Net Payment		Net Payment Paid/ Received		
							Net Payment				for Non -		Net Payment
													Paid/ Received
Venue -	Non-			Non -		Paid/Received	for Market	for Marketable	Limit	Marketable	Limit	Paid/Received	for Other
directe	Non - Directed	Market Orders	Marketable	Marketable	Other Orders	for Market	Orders(cents per	Limit	Orders(cents per	Limit	Orders(cents per	for Other	Orders(cents per
Order F	low Orders (%)	(%)	Limit Orders (%)	Limit Orders (%)	(%)	Orders(USD)	hundred shares)	Orders(USD)	hundred shares)	Orders(USD)	hundred shares)	Orders(USD)	hundred shares)

June 2024

S&P 500 Stocks

Summary

Non- Directed	Market Orders	Limit Orders as	Non-Marketable Limit Orders as % of	Other Orders as
Orders as %	as % of Non-	NonDirected	NonDirected	% of Non-
of All Orders	Directed Orders	Orders	Orders	Directed Orders
0	0	0	0	0

Venue

venu														
										Net Payment		Net Payment Paid/ Received		
								Net Payment	Net Payment	Paid/ Received	Paid/ Received	for Non -		Net Payment
							Net Payment	Paid/ Received	Paid/ Received	for Marketable	for Non -	Marketable	Net Payment	Paid/ Received
Venue	- Non-				Non -		Paid/Received	for Market	for Marketable	Limit	Marketable	Limit	Paid/Received	for Other
directe	d	Non - Directed	Market Orders	Marketable	Marketable	Other Orders	for Market	Orders(cents per	Limit	Orders(cents per	Limit	Orders(cents per	for Other	Orders(cents per
Order I	Flow	Orders (%)	(%)	Limit Orders (%)	Limit Orders (%)	(%)	Orders(USD)	hundred shares)	Orders(USD)	hundred shares)	Orders(USD)	hundred shares)	Orders(USD)	hundred shares)

June 2024

Non-S&P 500 Stocks

Summary

		Marketable	Non-Marketable	
Non-		Limit Orders as	Limit Orders as	
Directed	Market Orders	% of	% of	Other Orders as
Orders as %	as % of Non-	NonDirected	NonDirected	% of Non-
of All Orders	Directed Orders	Orders	Orders	Directed Orders
0	0	0	0	0

Venues

									Net Payment		Net Payment Paid/ Received		
							Net Payment	Net Payment	Paid/ Received	Paid/ Received	for Non -		Net Payment
						Net Payment	Paid/ Received	Paid/ Received	for Marketable	for Non -	Marketable	Net Payment	Paid/ Received
Venue -	lon-			Non -		Paid/Received	for Market	for Marketable	Limit	Marketable	Limit	Paid/Received	for Other
directed	Non - Directed	Market Orders	Marketable	Marketable	Other Orders	for Market	Orders(cents per	Limit	Orders(cents per	Limit	Orders(cents per	for Other	Orders(cents per
Order Flo	w Orders (%)	(%)	Limit Orders (%)	Limit Orders (%)	(%)	Orders(USD)	hundred shares)	Orders(USD)	hundred shares)	Orders(USD)	hundred shares)	Orders(USD)	hundred shares)